



773

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,582	12/19/2000	Man C. Niu	013402.00003

**CONFIRMATION NO. 6349**

## FORMALITIES LETTER



\*OC00000005799317\*

Charles N. Quinn  
Saul Ewing LLP  
1500 Market Street  
Centre Square West, 38th Floor  
Philadelphia, PA 19102

Date Mailed: 02/26/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$405.
  - \$45 for 5 total claims over 20.
  - \$360 for 9 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 825.**

04/25/2001 E90YEST11 00300046 501039 09740582

01	FC:201	355.00 CH
02	FC:202	360.00 CH
03	FC:203	45.00 CH
04	FC:205	65.00 CH

*A copy of this notice **MUST** be returned with the reply.*

Asfahani

---

## Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



✓ Sector

Practitioner's Docket No. 13402.00004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nu, Man Chiang

Application No.: 09/740,582

Group No.: NYA

Filed: December 19, 2000

Examiner: NYA

For: METHODS FOR EFFECTUATING mRNA  
TRANSFER OF GENETIC INFORMATION  
BETWEEN SPECIES AND PRODUCT OF THE SAME

Box Missing Part

Assistant Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION**

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed February 26, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

II. No declaration or oath was filed. Enclosed is copy of declaration or oath filed in the parent application.

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**



deposited with the United States Postal Service  
with sufficient postage as first class mail in an  
envelope addressed to the Assistant  
Commissioner for Patents, Washington, D.C.  
20231.

Date: 4-19-01

**FACSIMILE**

transmitted by facsimile to the Patent and  
Trademark Office at (703) \_\_\_\_\_.

Signature

Sherry Barag  
\_\_\_\_\_  
SHERRY BARAG  
(type or print name of person certifying)

## **SMALL ENTITY STATUS**

**III.** Applicant is a small entity. A Statement Claiming Small Entity Status was previously filed in the parent application.

## **COMPLETION FEES**

**IV.**

**1. Filing Fee**

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
--	----------

**2. Fees for Claims**

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$360.00
--	----------

Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$45.00
---	---------

**3. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
--	---------

Total Completion Fees	\$825.00
-----------------------	----------

## **EXTENSION OF TIME**

**V.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## **TOTAL FEE DUE**

**VI.** The total fee due is:

Completion fees	\$825.00
Extension fee (if any)	\$0.00

Total Fee Due	\$825.00
---------------	----------

**PAYMENT OF FEES**

**VII.** Please charge Deposit Account No. 50-1089 in the amount of 825.00.

Please charge Account No. 50-1089 for any additional fees that may be due by this paper.

Date: 4-19-01



Charles N. Quinn  
Registration No. 27,223  
Saul Ewing LLP  
Centre Square West  
1500 Market Street, 38th Floor  
Philadelphia, PA 19102  
215-972-1965  
Customer No. 28134